

Wind acclimation in a subtropical forest: trees on wind-exposed slopes are shorter with smaller crowns

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Summary

- Tree architecture is an important component of forest community dynamics – taller trees with larger crowns often outcompete their neighbors, but they are generally at higher risk of wind-induced damage. Yet, we know little about wind impacts on tree architecture in natural forest settings, especially in complex tropical forests. Here, we use airborne light detection and ranging (LiDAR) and 30 yr of forest inventory data in Puerto Rico to ask whether and how chronic winds alter tree architecture.
- We randomly sampled 124 canopy individuals of four dominant tree species ($n = 22\text{--}39$). For each individual, we measured slenderness (height/stem diameter) and crown area (m^2) and evaluated whether exposure to chronic winds impacted architecture after accounting for topography (curvature, elevation, slope, and soil wetness) and neighborhood variables (crowding and previous hurricane damage). We then estimated the mechanical wind vulnerability of trees.
- Three of four species grew significantly shorter (2–4 m) and had smaller crown areas in sites exposed to chronic winds. A short-lived pioneer species, by contrast, showed no evidence of wind-induced changes.
- We found that three species' architectural acclimation to chronic winds resulted in reduced vulnerability. Our findings demonstrate that exposure to chronic, nonstorm winds can lead to architectural changes in tropical trees, reducing height and crown areas.

Introduction

Forest trees compete with each other in space to capture resources and recruitment opportunities (Loehle, 2000), while constrained by neighboring trees and the frequent threat of wind and other disturbances (Mitchell, 2013; MacFarlane & Kane, 2017). This balance of requirements can often be reflected in tree architecture (Malhi *et al.*, 2018) – taller trees with larger crowns are more photosynthetically efficient (Niklas & Kerchner, 1984), but they are also more exposed in the canopy, increasing their risk of breaking (Jackson *et al.*, 2019a). In forested areas frequently exposed to winds, trees may suffer branch loss from snapping or abrasion, or adjust their architecture through acclimation (i.e. growth changes) to reduce their wind risk.

Chronic wind exposure – frequent exposure to noncatastrophic winds (Momberg *et al.*, 2021) – can trigger differential growth responses in plants (Jaffe, 1973; Telewski, 1995). Experiments show that regular exposure to wind speeds, even as low as $\geq 8.3 \text{ m s}^{-1}$, can lead plants to invest in mechanical stability at the expense of height (Mouliia & Combes, 2004), redirecting carbon allocation from height toward radial growth and root

anchorage (Nicoll *et al.*, 2008; Bonnesoeur *et al.*, 2016). Trees exposed to chronic winds therefore may grow less slender (i.e. height to stem-width ratio) than those in more protected locations (Meguro & Miyawaki, 1994; Coomes *et al.*, 2018). Less slender trees and those with smaller crown areas are predicted to have lower vulnerability to damage from more severe wind disturbances (Cremer *et al.*, 1982; Jackson *et al.*, 2019a; Hall *et al.*, 2020). Therefore, chronic exposure to winds may lead to architectural changes that result in lower vulnerability to future wind-induced damage (Brüchert & Gardiner, 2006; Gardiner *et al.*, 2016; Jackson *et al.*, 2024). However, few studies have evaluated the effects of chronic winds on tree architecture in structurally complex natural forests.

Besides potential acclimation to chronic winds, the architecture of a tree is complex and depends on multiple factors – species' evolved life-history traits, stand disturbance history, crowding by neighboring trees, topography, and soil conditions (Peltola, 2006; MacFarlane & Kane, 2017; Moore *et al.*, 2018). For example, trees growing in crowded neighborhoods may have reduced growth due to resource competition (Fortunel *et al.*, 2018), growing shorter or smaller crowns as a result

(MacFarlane & Kane, 2017). Topography also has pronounced impacts on tree growth: reduced root stability in water-saturated valleys or shallow soils on steep ridges can increase tree vulnerability to storm damage (Basnet, 1992; Basnet *et al.*, 1992), potentially leading to crown architecture changes for increased stability (Gardiner *et al.*, 2016). The disturbance history of a forest (e.g. when a severe windstorm most recently passed) may also lead to architectural changes due to changes in surrounding light conditions, which alter growth and therefore architecture (Jucker, 2022). Such site and stand conditions interact with life-history strategies related to light and water-use efficiency (Niklas, 1997; Loehle, 2000). For example, long-lived (i.e. centuries) shade-tolerant trees may prioritize mechanical stability over growth by allocating resources to grow deeper roots or denser stem wood that can reduce wind damage over long life spans (Basnet *et al.*, 1993; Gelder *et al.*, 2006; Rifai *et al.*, 2016). Short-lived (i.e. decades) pioneer species, meanwhile, may grow rapidly tall and risk wind damage over shorter lifetimes in exchange for increased growth and light capture (Uriarte *et al.*, 2004, 2012; Canham *et al.*, 2010; Flynn *et al.*, 2010).

Because of the complexity of these factors in understanding the architecture of trees in response to chronic winds, as well as the economic importance of plantation forests, most wind-tree studies have been conducted in more structurally uniform temperate or boreal forests (Anyomi *et al.*, 2016; Gardiner *et al.*, 2016). However, only a handful of studies have examined *tropical* forest trees' architecture and their response to winds (Gorgens *et al.*, 2021; Jackson *et al.*, 2021a; Jucker, 2022). The wind-tree studies that do exist in tropical forests mostly focus on severe disturbances such as hurricanes (Canham *et al.*, 2010; Uriarte *et al.*, 2019; Lin *et al.*, 2020), although chronic winds may have larger and more broadly distributed effects on tree structure and carbon dynamics (Ennos, 1997; Coomes *et al.*, 2018; Needham *et al.*, 2022). This scarcity of studies reflects the labor-intensive nature of quantifying tree architecture *in situ* (Lines *et al.*, 2022) and the difficulties of modeling the movement of winds over structurally complex forest canopies at the appropriate scales (Robertson, 1986; Boudreault *et al.*, 2015). Understanding the impacts of chronic winds on tropical trees under natural conditions is, therefore, an important knowledge gap, as structurally complex tropical forests are an essential part of the global carbon sink (Pan *et al.*, 2024). Fortunately, airborne laser scanning (ALS) technology now allows us to quantify crown height and shape of tall canopy trees even in structurally complex forests (LaRue *et al.*, 2020, 2023; Jucker, 2022). Moreover, some forests experience strong directionality of wind fields (Coomes *et al.*, 2018; Zimmerman *et al.*, 2021), allowing us to isolate the effects of chronic wind exposure on architecture and mechanical tree risk by comparing exposed and protected trees within the same forest environment.

Here, we ask whether chronic wind exposure leads to architectural changes in tropical trees, potentially affecting their estimated vulnerability to wind-induced stem breaks. To that aim, we calculated ALS-derived architectural metrics for 124 trees representing four common species and used their architecture to estimate their mechanical vulnerability to wind-induced damage. The species span a range of resource use and mechanical stability strategies in

the Luquillo Experimental Forest (LEF) in Puerto Rico (Uriarte *et al.*, 2012, 2019). We then used 30 yr of forest inventory data, topographical information, and records of previous hurricane damage to assess the effects of chronic wind exposure on tree architecture and estimated wind vulnerability after accounting for multiple ancillary factors. Specifically, we hypothesized that chronically wind-exposed trees, in contrast to trees in sheltered areas, will:

H1: *Grow shorter and less slender.*

H2: *Will have smaller crown areas.*

H3: *These changes will lead to lower estimated mechanical vulnerability to severe winds.*

H4: *Longer-lived, more wood-dense species will show more pronounced wind-induced architectural changes.*

Materials and Methods

Study site

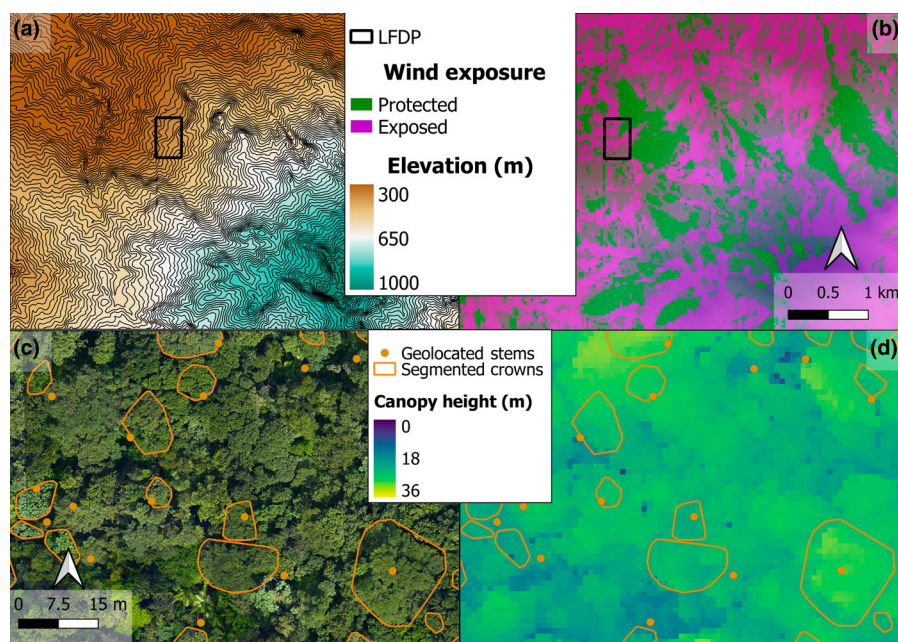
The Luquillo Forest Dynamics Plot (LFDP, 18°20' N, 62°49' W) is a 16-ha permanent forest plot in the LEF in El Yunque National Forest in northeast (NE) Puerto Rico (Thompson *et al.*, 2002). Mean annual rainfall is 3685 mm, elevation ranges from 332 to 427 m asl and slope from 3 to 60% (Thompson *et al.*, 2002). The topography includes northwest-running drainages that form NE- and southwest-facing slopes. Soils on the LFDP are clays formed from volcanoclastic sandstone that characteristically vary in soil type from well-drained uplands (Zarzal) to poorly drained side slopes and valleys (Johnston, 1992; Thompson *et al.*, 2002).

All tree stems in the LFDP ≥ 1 cm diameter at 1.3 m (diameter at breast height, dbh) have been identified, tagged, and mapped in censuses conducted approximately every 5 yr between 1990 and 2022 (Zimmerman *et al.*, 2021). The southern half of the plot is old-growth forest dominated by the late-successional species *Dacryodes excelsa* Vahl., *Manilkara bidentata* (A. DC.) Chev. and the palm *Prestoea acuminata* var. *montana* (Thompson *et al.*, 2002). The early successional species *Cecropia schreberiana* Miq. is distributed in the LFDP and recruited in larger numbers after the passage of two hurricanes that made landfall in the LFDP – Hugo in 1989 and Georges in 1998, although Georges had minor effects in the LFDP (Canham *et al.*, 2010). By 2017, when airborne data for this study were collected, forest structure had largely recovered to pre-Hugo levels (Heartsill Scalley, 2017) – average basal area was 36.7 m² ha⁻¹ at the time of hurricane Hugo, 30.85 m² ha⁻¹ at the time Georges struck, and 38.37 m² ha⁻¹ in 2016 (Uriarte *et al.*, 2019).

Chronic wind exposure

We calculated a topographically derived, canopy-independent model of chronic wind exposure. Variation in aspect leads to differential wind exposure in the LFDP (Fig. 1, Supporting

Fig. 1 Map of study sites in the Luquillo Forest Dynamics Plot (LFDP) – (a) Elevation (m), (b) Topographic exposure to chronic winds, (c) Geolocated tree stems with manually segmented crowns based on Goddard's LiDAR, Hyperspectral, and Thermal 0.03-m resolution RGB images, and (d) Airborne LiDAR (ALS) canopy height model. Note that (c, d) are a subset of the map in (a, b).



Information Fig. S1). To derive chronic wind directions, we extracted wind direction and speed data collected during 2002–2014 by a Met One 034A/B-L Wind Set (Campbell©, Logan, UT, USA) on the roof of the El Verde research station (*c.* 23 m aboveground, at 350 m asl) near the LFDP. Data were recorded daily on a 10× data logger (Campbell©) and downloaded every 2 wk (Ramirez, 2015). We removed outlier measurements of wind speeds $\geq 40 \text{ m s}^{-1}$ and calculated the percentage of wind coming from 12, 30° sectors, considering prevailing wind direction as sectors accounting for $> 10\%$ of wind direction data. Only three sectors (30–60°, 60–90°, and 90–120°) met this criterion, accounting for 67% of wind direction observations and also the highest maximum wind speeds ($\geq 20 \text{ m s}^{-1}$; mean of 12 m s^{-1} ; Fig. S1), with 78 total days over the 12 yr of data experiencing winds $\geq 8.3 \text{ m s}^{-1}$. The 60–90° sector accounted for most winds (23% of observations) and highest wind speeds (maximum 37 m s^{-1} ; mean 24 m s^{-1} ; Fig. S1). This result indicates that trees in our study, growing on slopes facing northeast–southeast, regularly experienced winds up to $8.3\text{--}19.4 \text{ m s}^{-1}$, which other studies have found to be enough to alter carbon allocation in tree growth (Mouliia & Combes, 2004; Nicoll *et al.*, 2008).

We then used the wind topographic exposure (EXPOS) model – since this model has been regularly used in the LEF (Boose *et al.*, 2004; Uriarte *et al.*, 2019; Hall *et al.*, 2020; Ankori-Karlinsky *et al.*, 2024) – to calculate chronic wind exposure based on angles of prevailing wind direction and topographic barriers using an ALS-derived digital elevation model (DEM, 30-m resolution) from NASA's March 2017 flights over Puerto Rico with Goddard's LiDAR, Hyperspectral, and Thermal (G-LiHT) Airborne Image sensor (Cook *et al.*, 2013). To assess exposure using EXPOS, we considered prevailing wind directions 60–120° (Fig. S1) and used an inflection angle of 15° (Batke *et al.*, 2014) and a maximum search distance (how far upwind a barrier matters)

of 0.5 km, yielding a 30-m resolution binary wind exposure map for the LFDP (Fig. 1). Notably, the direction of chronic winds differs from most recent hurricane paths (see Uriarte *et al.*, 2019, for details), making our estimate of chronic winds independent from severe storm winds. To make sure the effects of chronic wind exposure were not confounded with variation in light availability due to aspect, we used the OCE package in R v.4.1.2 (R Core Team, 2021) to calculate the mean solar azimuth angle at noon during the peak growing season (June–August) in the LFDP (Kelley *et al.*, 2022) and ensured that the aspect for light availability was not correlated with that of wind exposure but instead orthogonal to it (Fig. S1). Note that our estimate of chronic wind exposure is topographically derived and independent of canopy structure, allowing us to examine wind-induced changes in tree architecture while avoiding circularity.

Tree sampling, geolocation, and crown segmentation

We focused our analyses on four common canopy tree species (Table 1) – the pioneer *C. schreberiana* Miq., secondary successional species *Buchenavia tetraphylla* Aubl. Howard, and two late-successional species: *D. excelsa* Vahl. and *M. bidentata* (A. DC.) Chev (Thompson *et al.*, 2002). These species vary substantially in lifespan – *B. tetraphylla* and *C. schreberiana* live between *c.* 70 and 30 yr, respectively, while *D. excelsa* and *M. bidentata* can live up to 400 yr (Burns & Honkala, 1990; Weaver, 1991). We chose these species since they account for *c.* 40% of the basal area of trees $\geq 10\text{-cm}$ dbh in the forest and vary in life-history strategies related to light use, recruitment, and wind resistance (Uriarte *et al.*, 2012). We randomly sampled individual trees $\geq 20 \text{ cm}$ dbh from each species from the 2016 Census data, stratifying by our estimate of chronic wind exposure. Stratified random sampling was performed beforehand rather than in the field in order to reduce unintentional sampling bias. We chose

Table 1 Target species in this study by chronic wind exposure.

Species	Wind exposure	No. of stems	dbh (cm)	Height (m)	Area (m ²)
<i>Buchenavia tetraphylla</i> (c. 70-yr lifespan)	Protected	17	51.41 ± 4.5	26.6 ± 0.6	127.7 ± 20.1
	Exposed	5	52.40 ± 4.6	23.9 ± 2.3	84.8 ± 25.3
<i>Cecropia schreberiana</i> (c. 30 to 70-yr lifespan)	Protected	15	25.87 ± 1.6	22.6 ± 0.6	48.5 ± 9.3
	Exposed	11	27.82 ± 1.7	21.9 ± 0.9	51.7 ± 6.7
<i>Dacryodes excelsa</i> (up to c. 400-yr lifespan)	Protected	19	56.63 ± 2.7	26.5 ± 0.5	32 ± 3.5
	Exposed	18	45.56 ± 3.3	22.9 ± 0.6	26.1 ± 3.5
<i>Manilkara bidentata</i> (up to c. 400-yr lifespan)	Protected	27	43.30 ± 2.6	25.8 ± 0.7	39.6 ± 5.1
	Exposed	12	40.25 ± 5.1	25.5 ± 1.2	35.7 ± 15.2

Sample size (no. of stems with full ALS data), and mean ± SE diameter at breast height (dbh), tree height, and crown area.

the 20-cm dbh threshold to ensure target trees were in the canopy and visible so that we could carry out crown segmentation using high-resolution photography and ALS data collected by G-LiHT. To avoid direct confounding effects of previous hurricanes on tree architecture, we only included trees with no previous record of severe (i.e. no tip-up, snap-off, and root break or bent) or moderate (i.e. branch breaks) hurricane damage dating back to 1989 (Uriarte *et al.*, 2019).

To connect field data to ALS-derived architecture for individual trees, we used a Geo7x handheld GPS device (Trimble Navigation Limited©, Westminster, CO, USA) with a spatial SE resolution of ±0.15 m with an antenna at 2-m aboveground to geolocate target trees in February 2022. For each tree, we placed the GPS device on the stem and measured points every second for 3 min (Fig. 1). We used the Differential Corrections function in the TRIMBLE PATHFINDER© software to precisely locate each tree stem, achieving a mean positional accuracy of 0.88 m (±0.05 m SE), and exported points as a shapefile. We then overlaid the measured tree location data with 0.03-m resolution red, green blue (RGB) raster images from G-LiHT (Cook *et al.*, 2013) to visually and manually segment crowns in the QGIS DESKTOP© software v.3.16.4 (Fig. 1), exporting the resultant polygon shapefile and creating a convex hull for each crown using the ALPHAHULL R package (Pateiro-Lopez & Rodriguez-Casal, 2022). We only segmented tree crowns that could be confidently identified in the RGB images (Fig. 1). This approach allowed us to compare topographically wind-exposed and -protected trees, but restricted our sample by excluding subcanopy trees. The final sample size included 124 trees (Table 1).

Tree architecture

To calculate tree architectural metrics, we aligned the segmented crown shapefile from the RGB images for each tree with ALS data from G-LiHT and created tree-specific point clouds with the LIDR R package, considering as part of the tree crown any ALS returns within the manually segmented convex hulls, cropping them vertically from the tallest ALS return to 5-m aboveground (Aubry-Kientz *et al.*, 2019; Roussel, 2021). ALS data had a mean sampling density of 12 laser pulses per m² (Cook *et al.*, 2013; Leitold *et al.*, 2021), above the 7–8 pulses m⁻² recommended for quantifying vertical structure (LaRue *et al.*, 2022). We normalized point clouds by classifying ground

terrain using the LIDR package and filtered them to only include first returns, representing vegetation (Roussel, 2021).

For individual tree metrics, we used the dbh recorded in the 2016 Census as stem size. We calculated tree height (m) as the highest value in the ALS returns for each segmented crown (Fig. S2). We then calculated slenderness for each tree as height dbh⁻¹ (m). Crown area (m²) for each tree was calculated for each convex hull using the RASTER R package (Hijmans *et al.*, 2021). We used the ALPHASHAPE3D R package to calculate the crown volume for each crown as the total number of ALS returns within the convex hull (Pateiro-Lopez & Rodriguez-Casal, 2022; Lafarge & Pateiro-Lopez, 2023).

Neighborhood variables

To assess potential confounding effects of topography and soil conditions on tree architecture, we used a 1-m resolution DEM (Cook *et al.*, 2013) and calculated the mean curvature, elevation, slope, and topographic wetness index in 20 m radius buffers around each tree (Quinn *et al.*, 1995; Muscarella *et al.*, 2020). We chose 20 m to replicate the scale of geolocation in the plot inventory, as well as neighborhood dynamics (Uriarte *et al.*, 2004; Hogan *et al.*, 2016).

Although we selected undamaged trees in previous hurricanes, trees growing near other trees that were damaged by hurricanes could indirectly alter the light conditions surrounding our target trees and, therefore, their biomass allocation. To account for indirect effects of hurricanes on neighborhood light conditions, we calculated the proportion of severely damaged stems from Hurricane Hugo in 20 m radius buffers around each tree (Walker, 1991). To account for neighborhood stem crowding, which may alter resource competition and growth of target trees, we calculated the neighborhood crowding index (NCI):

$$NCI_i = \sum_{j=1, i \neq j}^j \frac{dbh_j^2}{d_{ij}^2} \quad \text{Eqn 1}$$

where stem *i* has *j* neighbors within 20 m and *d_{ij}* is the distance in meters from stem *i* to neighbor *j* (Uriarte *et al.*, 2004). Note that we used the third LFDP census in 2000 (17 yr before ALS measurements) to evaluate how prior crowding may have affected long-term tree growth and allocation and therefore current architecture.

Wind vulnerability modeling

To understand how architectural changes from chronic wind exposure may affect a tree's mechanical vulnerability to wind-induced stem break, we estimated the wind vulnerability for each individual tree i of species s (vul_{is}) at storm speeds (e.g. 25 m s^{-1}) based on an established model for mechanical vulnerability to winds (Gardiner *et al.*, 2008; Quine *et al.*, 2021):

$$vul_{is} \sim \frac{H_{is}}{dbh_{is}^3} \cdot \frac{A_{is}}{\rho_s} \quad \text{Eqn 2}$$

where H is the vertical height of the tree in m , dbh is the diameter of the stem in cm at 1.3 m height (cubed to simulate a circular stem shape), A is the surface area of the crown in m^2 , and ρ is the species wood density defined as the dry wood weight to volume in kg cm^{-3} (Gardiner *et al.*, 2000; Niklas & Spatz, 2010; Jackson *et al.*, 2021b). Wood density values were obtained from (Swenson *et al.*, 2012). This equation was derived from models that represent the effects of wind forces on tree mechanics, inducing stems to break (Quine & Gardiner, 2007; Gardiner *et al.*, 2008; Quine *et al.*, 2021). Additional details are provided in the Supporting Information (Notes S1).

Statistical analyses

We ran multiple linear regressions of estimated vulnerability as well as each individual tree architectural metric – height, slenderness, and crown area – as a function of chronic wind exposure, curvature, dbh (except in the regressions for slenderness and vulnerability, as dbh is used to calculate them), elevation, previous neighborhood hurricane damage, neighborhood crowding, slope, and topographic wetness within species in R (Bolker, 2008). To facilitate interpretation of results and compare effects of each covariate (as they differed substantially in scale), we used the *scale* function in R to standardize all predictors by subtracting the species-level mean and dividing by the SD. Before running regressions, we checked assumptions of normality for response variables (Shapiro & Wilk, 1965) and assessed multicollinearity of independent variables (Fig. S3), using a threshold of $r \leq 0.7$ and ≤ 5 for variance inflation (Dormann *et al.*, 2013). All models reported here met the conditions mentioned previously. To further avoid issues of multicollinearity due to our relatively small sample sizes, we used a model-selection approach with two-direction stepwise regression using Akaike's Information Criterion (AIC) to select the best model (Burnham & Anderson, 2002; Ripley *et al.*, 2022). Here, we report the best models, but details for the full models are available in the Tables S1 and S2.

Results

Overall species differences in tree architecture

We had expected longer-lived species to have taller stems and larger crown areas. We found partial support for this pattern. The pioneer *C. schreberiana* was shorter by *c.* 4 m on average than

other species (Table 1; Fig. 2a,b). However, it had larger crown areas than other species (Table 1; Fig. 2c). *B. tetraphylla* had the tallest, largest crowns, while *D. excelsa* and *M. bidentata* trees were intermediate in height and crown architecture (Table 1; Figs 2a,c, S2). Consequently, allometric relationships differed significantly between species (Fig. S4), with only *B. tetraphylla* and *M. bidentata* aligning with allometric relationships previously derived for tropical trees (Jucker *et al.*, 2017).

Chronic wind effects on within-species tree architecture

We had hypothesized that trees exposed to chronic winds would grow less slender stems and smaller crown areas. We found strong

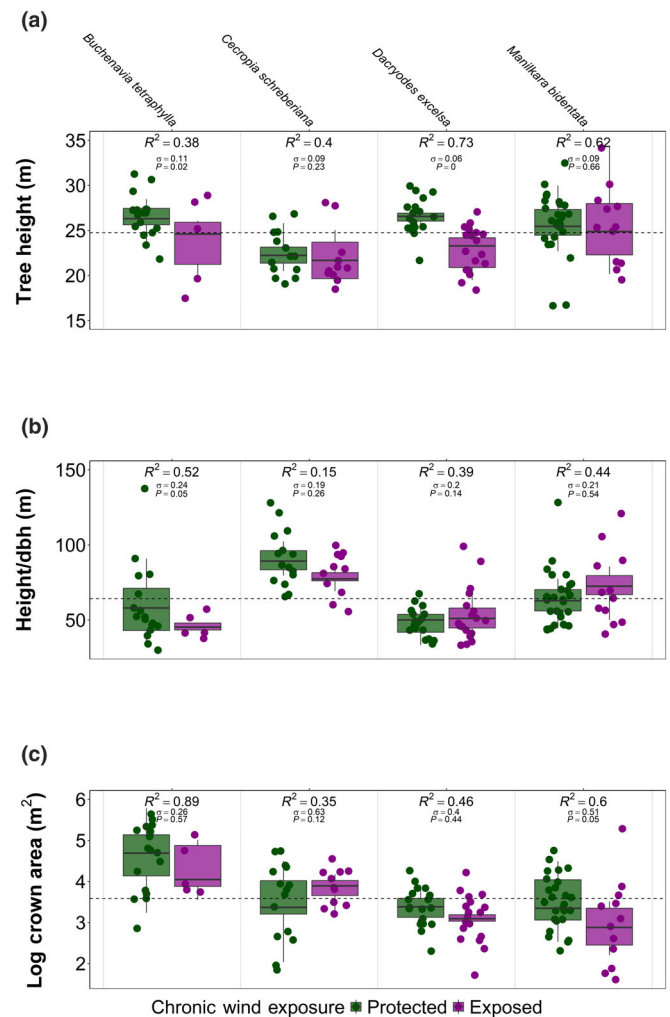


Fig. 2 Impacts of chronic wind exposure (color legend) on tree architecture of 124 trees of four common species, *Buchenavia tetraphylla*, *Cecropia schreberiana*, *Dacryodes excelsa*, *Manilkara bidentata*, in the Luquillo Forest Dynamics Plot: (a) tree height (m), (b) tree slenderness (height/diameter at breast height (dbh), m), (c) crown area (m^2). Note that crown area is natural log-transformed for easier visualization. Points represent observed individual tree data; boxplots represent model median and interquartile-range predictions, and the dotted line represents mean values for all species. R^2 and error (σ) values are from the model fit, and P -values indicate significance of chronic wind exposure effect.

support for this: chronic wind exposure significantly reduced height in *D. excelsa* and slenderness in *B. tetraphylla* (Fig. 2a,b; Tables 2, 3, S1, S2), and significantly reduced crown areas in *B. tetraphylla*, *D. excelsa*, and *M. bidentata* (Fig. 2c; Tables 2, S1). Compared with protected trees, wind-exposed *B. tetraphylla* trees had 42-m² smaller crown areas, were 2.7 m shorter, and 20% less slender; wind-exposed *D. excelsa* trees were 3.6 m shorter, and wind-exposed *M. bidentata* trees had 4-m² or 14% smaller crown areas (Fig. 2; Tables 1, S1). On the other hand, *C. schreberiana* – the shortest-lived and fastest-growing species in our dataset – showed no architectural difference between chronically wind-exposed and sheltered trees. Importantly, chronic wind effects on tree architecture were still pronounced independent of other environmental effects, per the statistical analyses (Tables 2, 3, S1, S2).

Prior hurricane, neighborhood, and topographic effects on tree architecture

Previous neighborhood-scale disturbances had perceptible effects on tree architecture. Trees growing in stands previously severely damaged by hurricane Hugo were shorter and less slender (Tables 2, 3, S1, S2). However, *C. schreberiana* trees in damaged areas grew larger crown areas (Tables 2, S1). Neighborhood stem crowding, on the other hand, had weak effects on tree architecture (Tables 2, 3, S1, S2).

Topographical variables also had significant, but inconsistent, effects on tree architecture. Both *B. tetraphylla* and *D. excelsa* grew shorter in valleys than in ridges (in terms of slope angle and not aspect), and *D. excelsa* grew taller on steeper slopes and had larger crowns in water-saturated soils (Tables 2, 3, S1, S2). Variation in elevation did not have significant effects on tree architecture.

Species and wind-induced differences in mechanical vulnerability

Overall species differences in architecture were reflected in estimated mechanical vulnerability to severe winds – *B. tetraphylla* and *C. schreberiana* trees had the highest wind vulnerability due to lower wood density in *C. schreberiana* and larger crown areas in *B. tetraphylla* (Figs 2c, 3).

Architectural changes from chronic winds significantly affected estimated wind vulnerability in all species except for *C. schreberiana* (Fig. 3; Tables 2, 3). This effect resulted in the lowest estimated vulnerability for chronically wind-exposed *D. excelsa* trees, which had a 24% reduction in estimated vulnerability compared with wind-protected *D. excelsa* trees (Fig. 3).

Cecropia schreberiana trees grew larger crowns when growing in neighborhoods severely damaged by hurricane Hugo (Tables 2, S1), resulting in higher estimated vulnerability for trees in those stands (Tables 3, S2). Similarly, *M. bidentata* trees grew more slender and bigger crowns on steeper slopes, also resulting in increased estimated vulnerability for those trees (Tables 3, S2).

Table 2 Results of best multiple linear regression models for height and crown area for four species.

	Height				Crown area			
	BUCTET	CECSCH	DACEXC	MANBID	BUCTET	CECSCH	DACEXC	MANBID
(Intercept)	3.28 (0.03)***	3.12 (0.02)***	3.23 (0.02)***	3.23 (0.02)***	4.53 (0.07)***	3.48 (0.17)***	3.31 (0.11)***	3.44 (0.10)***
Wind exposure	−0.15 (0.06)*	−0.05 (0.04)	−0.11 (0.03)***	0.02 (0.04)	−0.09 (0.15)	0.45 (0.28)	−0.14 (0.18)	−0.37 (0.18)*
dbh	0.05 (0.02)	0.05 (0.02)*	0.04 (0.01)*	0.11 (0.02)***	0.58 (0.07)***	0.38 (0.14)*	0.45 (0.08)***	0.60 (0.08)***
Height					0.20 (0.07)*		−0.25 (0.09)*	
Crown area			−0.02 (0.01)			−0.29 (0.20)		
Curvature	−0.09 (0.03)*		−0.07 (0.02)***	−0.09 (0.03)*	−0.19 (0.06)**			
Elevation			0.02 (0.01)					
Slope		0.03 (0.02)	0.08 (0.01)***	0.03 (0.02)		−0.25 (0.17)		
TWI	−0.07 (0.03)*	0.06 (0.02)*				−0.32 (0.19)	0.21 (0.08)**	
Hugo damage			−0.03 (0.01)*	−0.08 (0.02)***		0.35 (0.13)*		
NCI	0.01 (0.02)			−0.03 (0.02)				
R ² Adj.	0.38	0.39	0.73	0.62	0.88	0.35	0.45	0.59
RMSE	0.09	0.08	0.06	0.09	0.23	0.54	0.37	0.49
AIC	114.0	117.3	145.6	186.1	208.4	248.7	283.8	323.3

Each row indicates the parameter estimate for each predictor, with SE in parentheses, and statistical significance indicated by asterisks, where *P*-values = 0 '***', 0.01 '**', 0.05 '*'. Model performance statistics at the bottom. AIC indicating best model compared to full model (Supporting Information Table S1). Note that dependent variables were log-transformed. AIC, Akaike Information Criterion; BUCTET, *Buchenavia tetraphylla*; CECSCH, *Cecropia schreberiana*; DACEXC, *Dacryodes excelsa*; dbh, diameter at breast height; MANBID, *Manilkara bidentata*; NCI, Neighborhood crowding index; R² Adj., Adjusted coefficient of determination; RMSE, root-square mean model error; TWI, topographic wetness index.

Table 3 Results of best multiple linear regression models for slenderness (height/diameter at breast height) and estimated wind vulnerability for four species.

	Slender				Vulnerable			
	<i>BUCTET</i>	<i>CECSCH</i>	<i>DACEXC</i>	<i>MANBID</i>	<i>BUCTET</i>	<i>CECSCH</i>	<i>DACEXC</i>	<i>MANBID</i>
(Intercept)	4.043 (0.06)***	4.48 (0.05)***	3.83 (0.05)***	4.14 (0.04)***	−0.17 (0.02)***	0.09 (0.03)**	−0.26 (0.02)***	−0.53 (0.05)***
Wind exposure	−0.32 (0.16)*	−0.09 (0.08)	0.12 (0.08)	0.05 (0.07)	−0.12 (0.05)*	0.07 (0.04)	−0.11 (0.03)**	−0.18 (0.08)*
Crown area	−2.9 (0.16)***	−0.6 (0.04)	−0.13 (0.04)**	−0.14 (0.04)***				
Curvature	−0.08 (0.06)		−0.13 (0.05)**		−0.10 (0.03)**	−0.05 (0.02)*		−0.06 (0.04)
Elevation			0.05 (0.04)		−0.07 (0.03)*			0.06 (0.04)
Slope	0.10 (0.07)		0.08 (0.05)				0.03 (0.02)	0.10 (0.04)*
TWI					−0.06 (0.03)		0.03 (0.02)	
Hurricane	−0.01 (0.08)	0.06 (0.05)	−0.01 (0.04)	−0.12 (0.04)***		0.04 (0.02)*		−0.07 (0.04)
Hugo								
NCI				−0.04 (0.02)				
R^2 Adj.	0.52	0.15	0.39	0.44	0.36	0.22	0.27	0.17
RMSE	0.20	0.17	0.18	0.2	0.09	0.09	0.09	0.22
AIC	181.9	223.9	282.7	319.3	−41.1	−36.4	−89.0	−39.8

Each row indicates the parameter estimate for each predictor, with SE in parentheses, and statistical significance indicated by asterisks, where P -values = 0 '***', 0.001 '**', 0.01 '*'. Model performance statistics at the bottom. AIC indicating best model compared to full model (Supporting Information Table S2). Note that dependent variables were log-transformed. AIC, Akaike Information Criterion; *BUCTET*, *Buchenavia tetraphylla*; *CECSCH*, *Cecropia schreberiana*; *DACEXC*, *Dacryodes excelsa*; *MANBID*, *Manilkara bidentata*; NCI, Neighborhood crowding index; R^2 Adj., Adjusted coefficient of determination; RMSE, root-square mean model error; TWI, topographic wetness index.

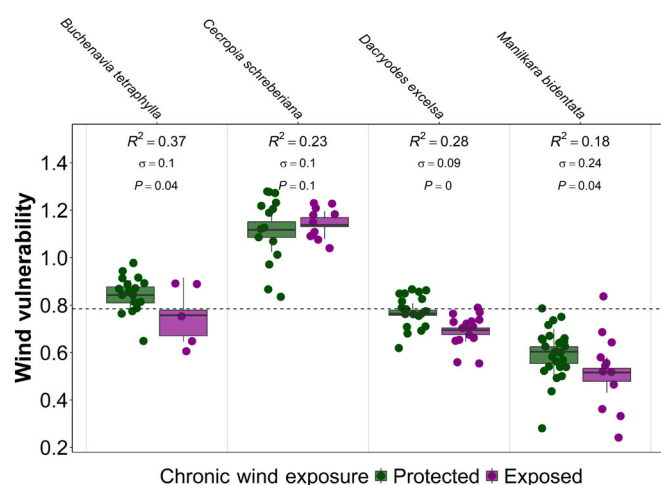


Fig. 3 Relationship between chronic wind exposure (color legend) and estimated wind vulnerability for 124 trees of four common species, *Buchenavia tetraphylla*, *Cecropia schreberiana*, *Dacryodes excelsa*, *Manilkara bidentata*, in the Luquillo Forest Dynamics Plot: points represent observed individual tree data; boxplots represent medians and interquartile ranges; and the dotted line represents mean values for all species. R^2 and error (σ) values are from the model fit, and P -values indicate significance of chronic wind exposure effect.

Discussion

Working in a tropical forest with predictable chronic wind directions, our study used a novel combination of high-resolution airborne remote sensing and long-term forest inventory data to show that chronic wind exposure leads to architectural changes in individual tropical forest trees of abundant species. Long-lived species in chronic winds grew less slender stems and smaller crowns, resulting in reductions in their estimated mechanical

vulnerability to severe winds. Although our sample size and number of species were limited, our findings demonstrate that, even in a complex tropical forest and after accounting for multiple effects, directional wind exposure can lead to significant changes in adult tree architecture and mechanical vulnerability, and potentially forest dynamics in response to severe disturbances.

Chronic winds lead to architectural changes on tropical trees

We found evidence that tropical trees with higher exposure to chronic winds grew shorter and had smaller crowns. This effect differed across species, with shorter-lived species showing no evidence of wind-induced acclimation. Although a sample of four species requires care in interpretation, it is noteworthy that the two species that showed the biggest effect sizes in wind-induced acclimation – *D. excelsa* and *M. bidentata* – are also among the most resistant to hurricane-induced damage in Puerto Rico (Canham *et al.*, 2010; Uriarte *et al.*, 2019).

There are a number of possible mechanisms to explain species differences in wind-induced architectural changes: shorter pioneer species may be sheltered from wind effects by taller neighbors (Gardiner *et al.*, 2016); long-lived wind-exposed trees may invest in radial, belowground, or denser wood at the expense of primary growth to increase mechanical stability (Brüchert & Gardiner, 2006; Nicoll *et al.*, 2008; Bonnesoeur *et al.*, 2016; Niklas, 2016); or wind-exposed trees (regardless of life-history strategies) may lose crown biomass from branch damage or defoliation (Markham & Fernández Otárola, 2020). These possible mechanisms are discussed later.

Species differences in chronic wind-induced architectural changes may reflect neighborhood sheltering by taller trees. Trees of the pioneer species, *C. schreberiana*, were the shortest in our

dataset, and their architectural metrics did not vary based on chronic wind exposure. Conversely, longer-lived and taller species – *B. tetraphylla*, *D. excelsa*, and *M. bidentata* (Thompson *et al.*, 2002) – displayed evidence of wind-induced growth responses. These lines of evidence support findings from temperate forests – wind-induced structural variation may only occur if an exposed canopy tree lives long enough to experience significant strain (Hale *et al.*, 2012; MacFarlane & Kane, 2017).

Experiments have shown that trees exposed to chronic winds may also reduce their vulnerability to stem breaks by prioritizing stem diameter growth (Bonnesoeur *et al.*, 2016; Nicoll *et al.*, 2019). Indeed, trees exposed to chronic winds in our study were shorter on average than protected trees for a given stem diameter. However, we found no direct evidence of difference in trees' stem diameter growth over the 30 yr of census data based on chronic wind exposure (data not shown). It is possible our sampling scheme rendered us unable to examine such mechanisms: we sampled canopy trees > 20-cm dbh, which, apart from *C. schreberiana*, tend to be decades old by the time they reach this size and may have reduced growth rates at the time of measurement (Fahey *et al.*, 2015). Wind-exposed trees may have also invested in denser wood, regardless of diameter growth (Asner & Goldstein, 1997; Angulo-Sandoval & Aide, 2000), although the two are usually correlated (Gardiner *et al.*, 2016). Another possibility is that trees altered their branching architecture – perhaps streamlining with chronic winds – or invested differently in their leaf shape and area (Markham & Fernández Otárola, 2020). Unfortunately, without terrestrial laser scanning (TLS) data, we are limited in our ability to quantify branching architecture, and we currently have no wood density data for different levels of chronic wind exposure to evaluate this hypothesis.

Chronic winds may also lead to shorter trees and smaller crowns through trade-offs of increased carbon allocation to root systems (Basnet *et al.*, 1993). Indeed, past research in the LEF has found that *D. excelsa* trees have the deepest roots of all species studied (Yaffar *et al.*, 2019; Yaffar & Norby, 2020), and root grafting in this species has been tentatively tied to high resistance to hurricane damage (Basnet *et al.*, 1992). These studies suggest that differential carbon allocation to roots may be responsible for the significant reductions in height and crown area we observed in chronically wind-exposed *D. excelsa* and *M. bidentata* trees. Unfortunately, the difficulty in obtaining species-specific root data means we have no data to directly evaluate this hypothesis.

Differences in architecture based on chronic winds may also be caused by branch damage or defoliation (Haber *et al.*, 2020). None of the trees in our study were damaged by previous hurricanes dating back to 1989, suggesting that biomass loss from storms may not be primarily responsible for the reductions in crown metrics we observed in wind-exposed trees. However, field data every 5 yr do not collect damage from nonhurricane storms, and annual remote satellite sensors are not available at tree-level resolutions, leaving us unable to assess the importance of this mechanism in driving structural changes (Peterson, 2019; Markham & Fernández Otárola, 2020). However, the growing

availability of multiple ALS flyovers would allow future researchers to track damage rates from nonsevere storms to differentiate between mechanisms of damage and allocation.

Disturbances leave decades-long architectural legacies

Higher light conditions following hurricanes can often lead to increased growth and thus taller, larger tree crowns (Zimmerman *et al.*, 2014). Contrary to such expectations, higher surrounding damage in our study did not correlate with increases in height. In fact, *D. excelsa* and *M. bidentata* were shorter in stands with more previous damage, while *C. schreberiana* did not differ in height but grew larger crown areas in such stands. These findings may make sense in terms of community responses to severe disturbances, in which recovery involves extremely high recruitment and growth rates of understory trees and pioneer species (Van Bloem *et al.*, 2006; Chevalier *et al.*, 2022; Zhang *et al.*, 2022). It may be that in highly damaged stands, the higher light conditions in horizontal space reduced vertical competition for light (Loehle, 2000; Strigul *et al.*, 2008) so that trees invested in growing wider crowns to capture available light rather than growing taller. Another possibility is that indirect damage from hurricane Hugo not captured by our data may have shortened trees in highly damaged stands (Atkins *et al.*, 2020). Regardless, although our limited sample size makes it difficult to extrapolate, it is worth noting that even in tall, long-lived canopy trees individually undamaged by Hugo in 1989, surrounding neighborhood damage appeared to leave observable architectural legacies 30 yr after the storm.

Species responses to chronic winds map onto hurricane resistance strategies

Tree species in hurricane-prone forests across the Caribbean have evolved different strategies to survive and regenerate after hurricanes, which make landfall on the island in multidecadal intervals (Boose *et al.*, 2004; Canham *et al.*, 2010; Zimmerman *et al.*, 2021). Pioneer species such as *C. schreberiana* are highly vulnerable to damage but regenerate rapidly (Canham *et al.*, 2010; Uriarte *et al.*, 2012), whereas longer-lived species such as *M. bidentata* and *D. excelsa* have much lower rates of damage (Uriarte *et al.*, 2019; Taylor *et al.*, 2023), with *B. tetraphylla* falling somewhere in between (Uriarte *et al.*, 2019). Our study shows that species differences in chronic wind-induced architectural metrics reflect these life-history strategies. For example, *C. schreberiana* grows fast at the expense of increased vulnerability to severe storms (Smith-Martin *et al.*, 2022), which fits with our findings of its higher wind vulnerability compared with other species, regardless of chronic winds. Conversely, the reduced height in wind-exposed *D. excelsa* trees and the smaller crown areas in wind-exposed *M. bidentata* trees suggest acclimation strategies to reduce vulnerability to more severe storms. The risk of damage from severe winds consistently increases with tree height (Jackson *et al.*, 2019a; Hall *et al.*, 2020). Since wind-exposed *D. excelsa* trees in our study were *c.* 3 m shorter on average than protected trees, the chronically wind-exposed trees may

be significantly less susceptible to damage from future hurricanes. Additionally, if the wind-induced effect we observe is driven by carbon allocation to denser wood or more extensive root systems, then nonsevere winds may lead to growth changes that allow trees to better resist severe storms. Future studies could test this possibility by monitoring wind-induced differences in wood density and belowground biomass, and compare those with rates of damage from hurricanes. The increasing availability of multi- and hyperspectral sensors alongside TLS or ALS data can dramatically expand data acquisition of *in situ* tree architecture, and help answer some of these remaining questions (Jackson *et al.*, 2019b; Reilly *et al.*, 2021; Gao *et al.*, 2022).

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Competing interests

None declared.

Author contributions

RA-K and MU conceived the ideas and designed the methodology. RA-K, JKZ, GY, TZ and DCM collected the data. RA-K, TDJ and GY analyzed the data. RA-K and MU led the writing of the manuscript. All authors contributed critically to the drafts and gave final approval for publication.

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Data availability

Data are available on Dryad doi: [10.5061/dryad.zw3r228fq](https://doi.org/10.5061/dryad.zw3r228fq).

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Supporting Information

Additional Supporting Information may be found online in the Supporting Information section at the end of the article.

Fig. S1 Direction of prevailing winds in the Luquillo Forest Dynamics Plot.

Fig. S2 Distributions of tree height (m), diameter at breast height (cm), crown area (m^2), and crown volume (m^3) of 124 trees in this study.

Fig. S3 Pearson's correlations of environmental variables and architectural metrics of all 124 trees in this study.

Fig. S4 Log–log allometric relationships between stem diameter at breast height (cm) and height*crown area (m^2) of 124 trees in this study.

Notes S1 Derivation of estimated wind vulnerability of individual tree stem break.

Table S1 Results of full multiple linear regression models for height (m) and crown area (m^2) for 124 trees in this study.

Table S2 Results of full multiple linear regression models for slenderness (height/diameter at breast height, m) and estimated wind vulnerability (Eqn 2 in main text; Notes S1) for 124 trees in this study.

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